

# Neuron Network Basis

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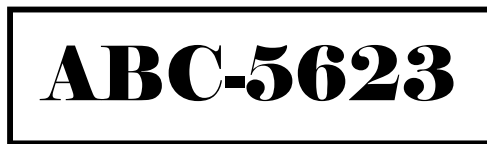
# Outline

- Model Formulation
- Single Neuron
- Hidden Layer
- Activation Function
- Linearity and Non-linearity
- Model Parameters
- Loss Function

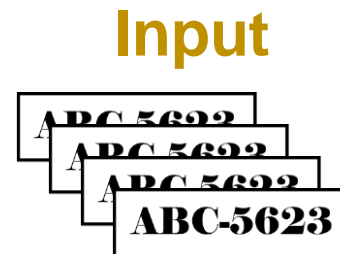
# Model Formulation

- Imagine that you have a problem, such as a digit recognition, object detection, or numerical prediction.

## Digit recognition



**ABC-5623**



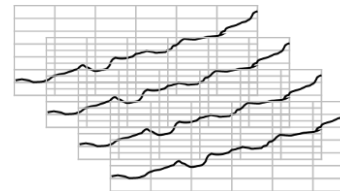
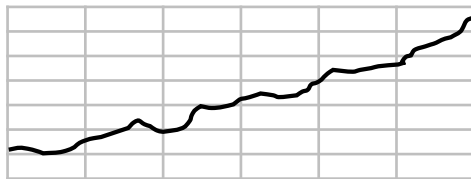
## Function

$f(x)$

## Output

> "ABC-5623"

## Numerical prediction

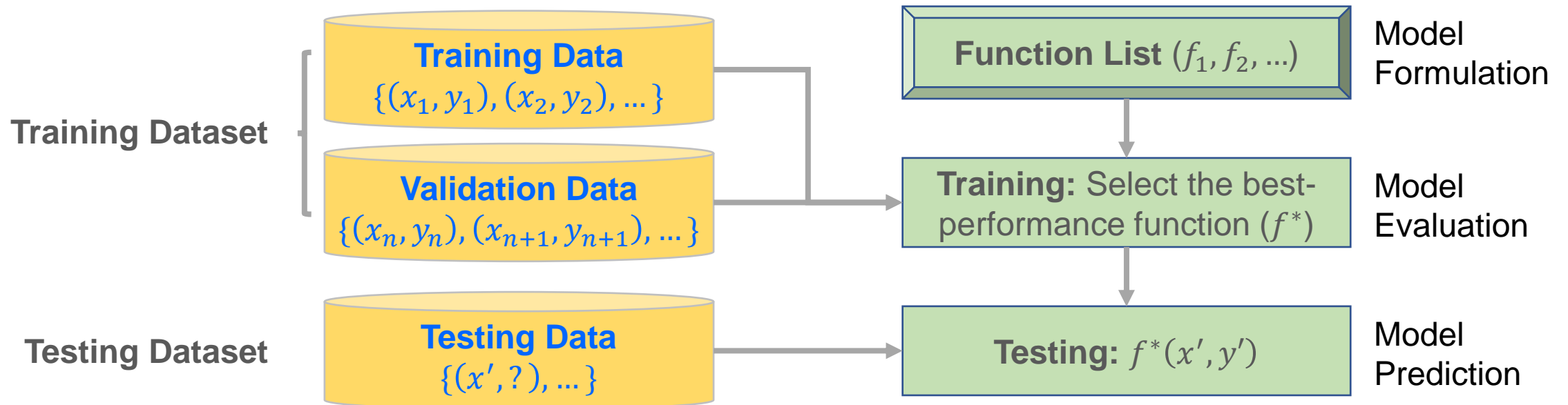


$f(x)$

> 234, 235, ...

# Model Formulation

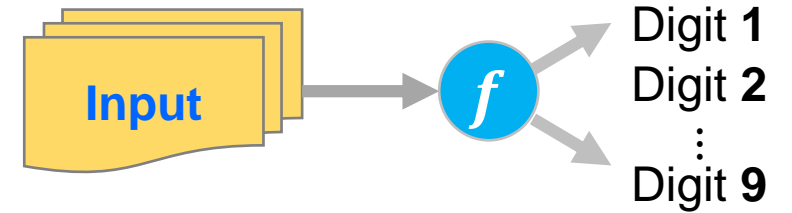
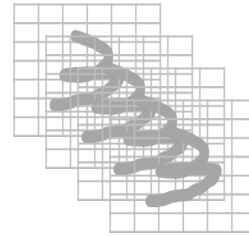
- In machine learning and deep learning, we usually divide our input data into training and validation datasets for the training model.



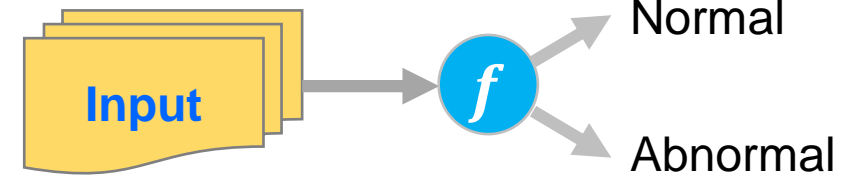
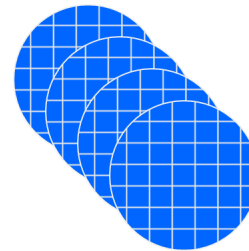
# Model Formulation

- Prediction Tasks

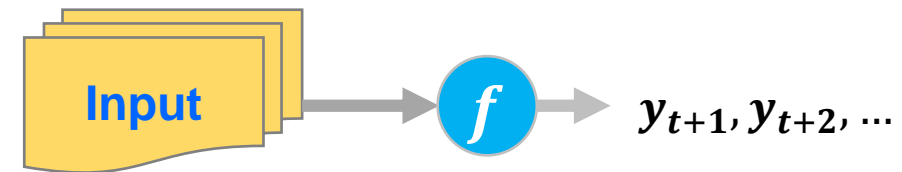
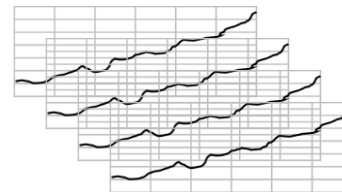
- Handwritten Recognition



- Abnormal Chip Testing



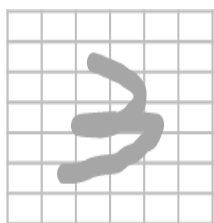
- Stock Prediction



# Model Formulation

- Handwritten Recognition: alphabet and number

$x: image$



7 x 6 array

$f$

$y: label$

$$f: R^N \rightarrow R^M$$

1 indicates the element contains ink  
0 indicates the element contains nothing

$$\begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \Rightarrow 7 \times 6 = 42 \text{ elements}$$

10+26 dimension for label recognition

$$\begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \quad \begin{matrix} a \\ b \\ c \\ \vdots \\ 8 \\ 9 \end{matrix} \quad \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

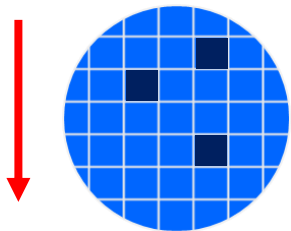
Detect

Ground Truth

# Model Formulation

- Abnormal Chip Testing

$x: image$



1 indicates a defect chip  
0 indicates a normal chip

$$\begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \Rightarrow \text{dimensions} = \text{size}(\text{chips})$$

$f$

$y: label$

2 dimensions

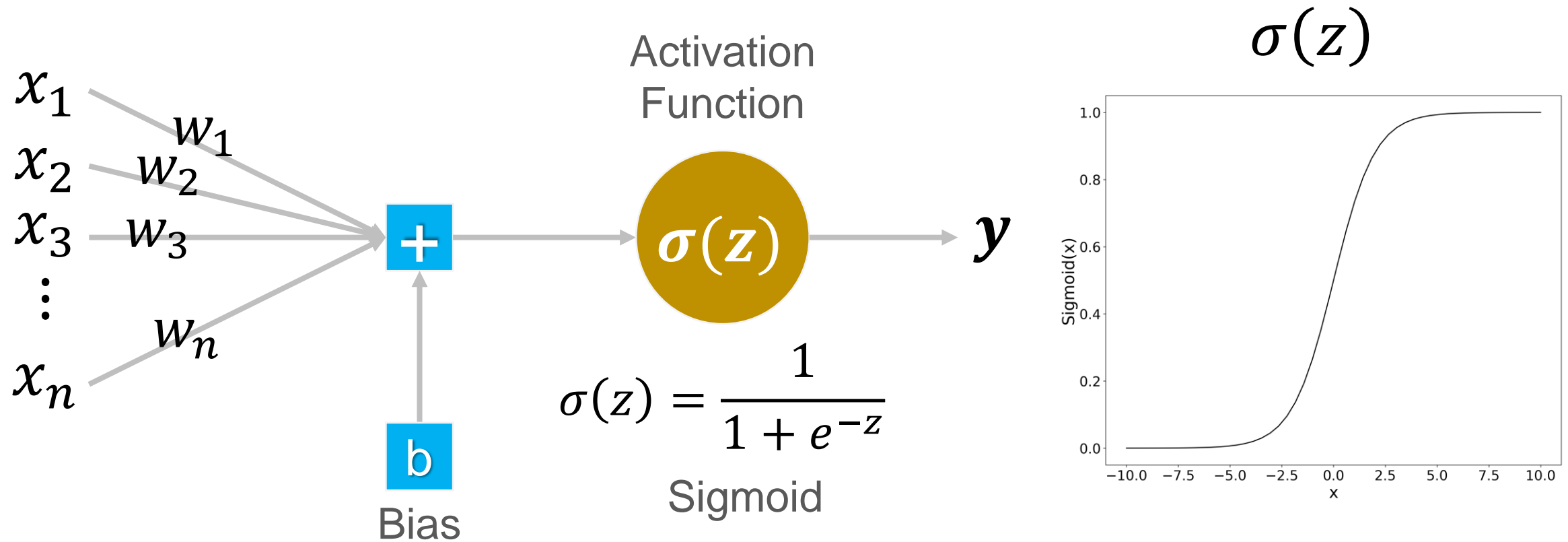
Normal chip or Defect chip

$$\begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \quad \begin{matrix} \vee \\ \vee \\ \times \\ \vdots \\ \vee \\ \vee \end{matrix} \quad \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

Confirm

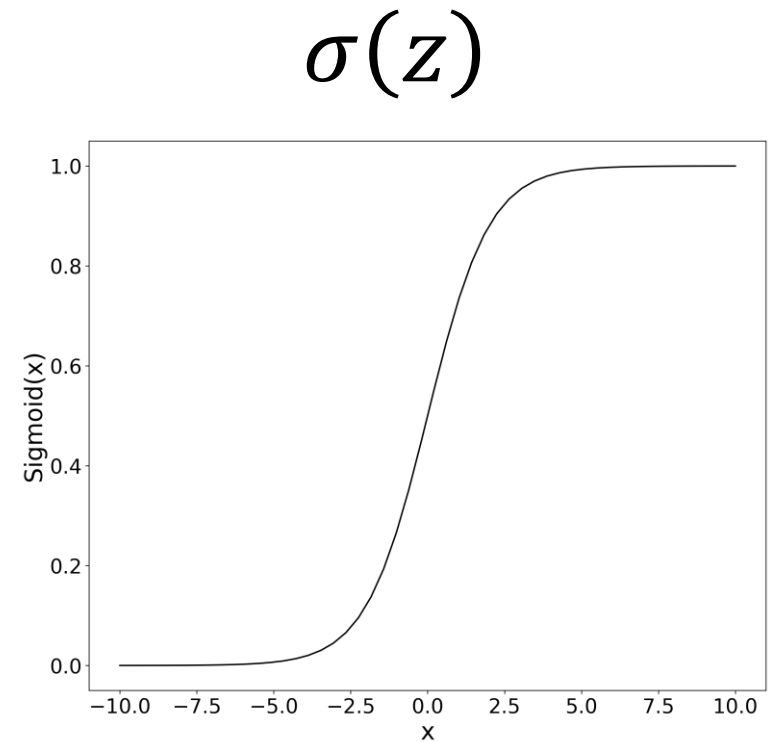
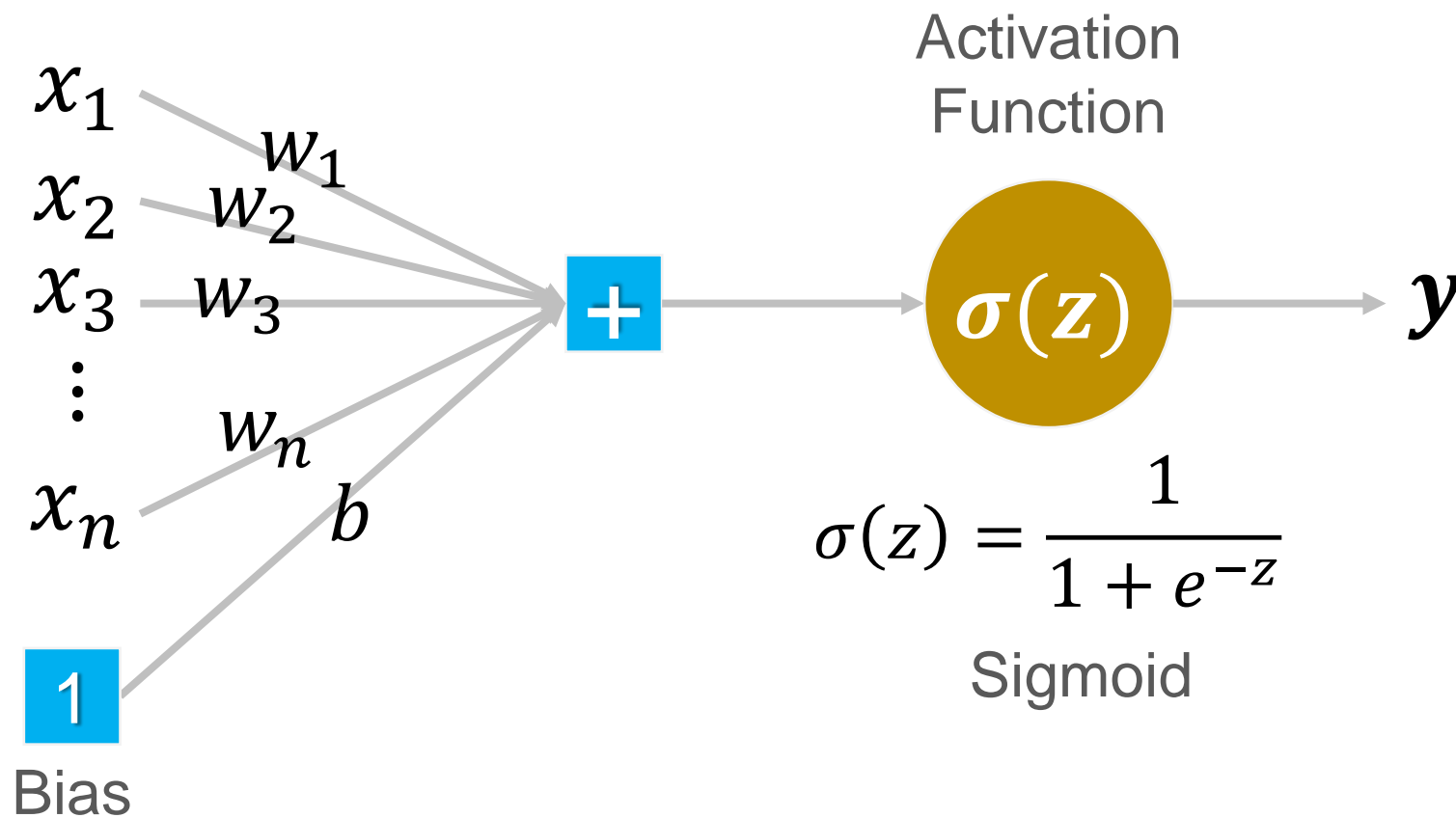
Detect    Ground Truth

# Single Neuron

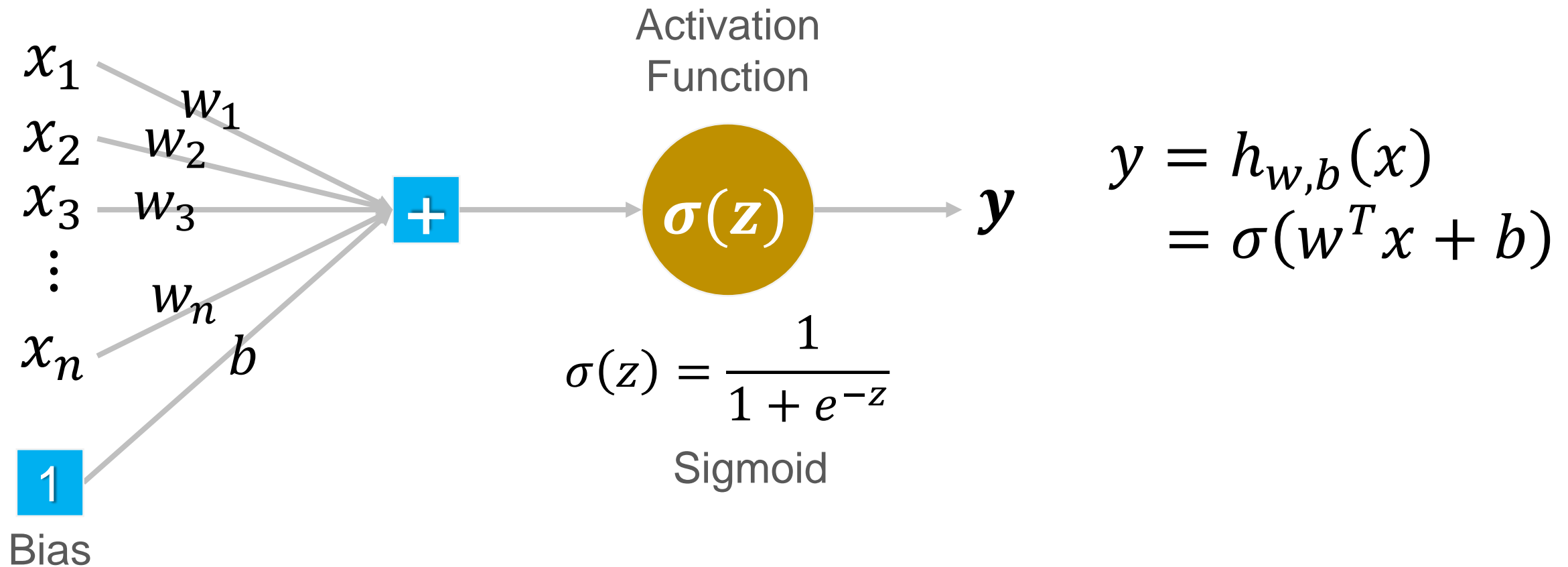




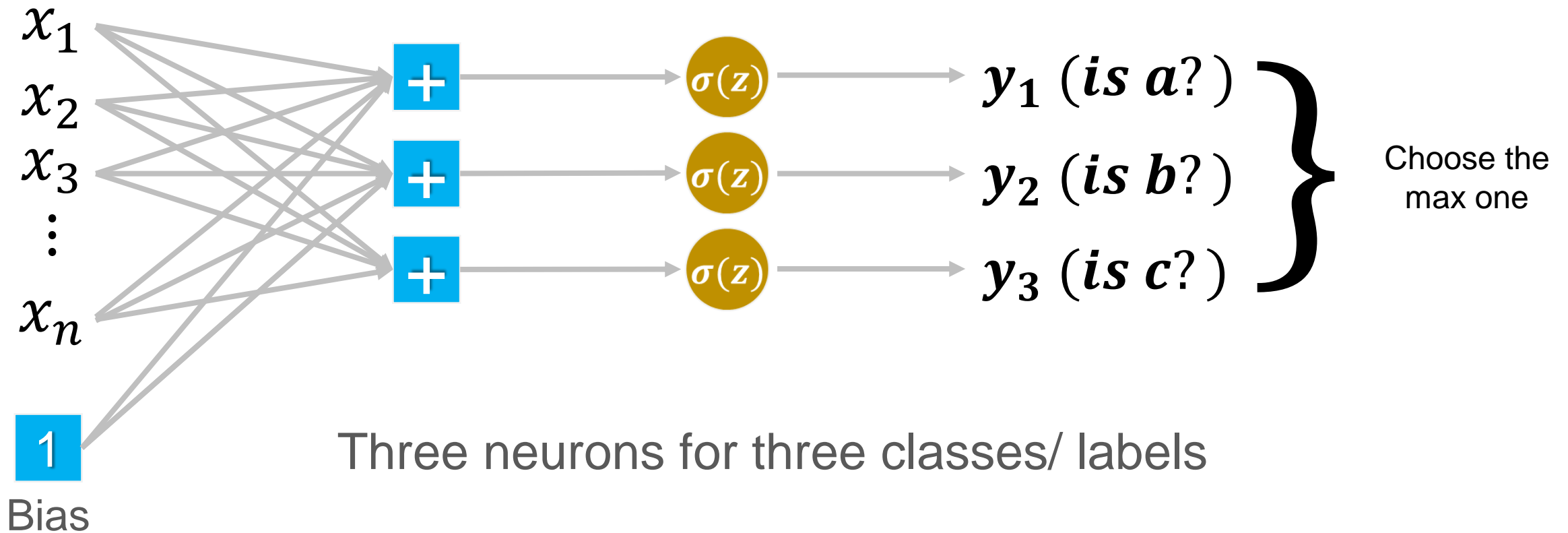
# Single Neuron



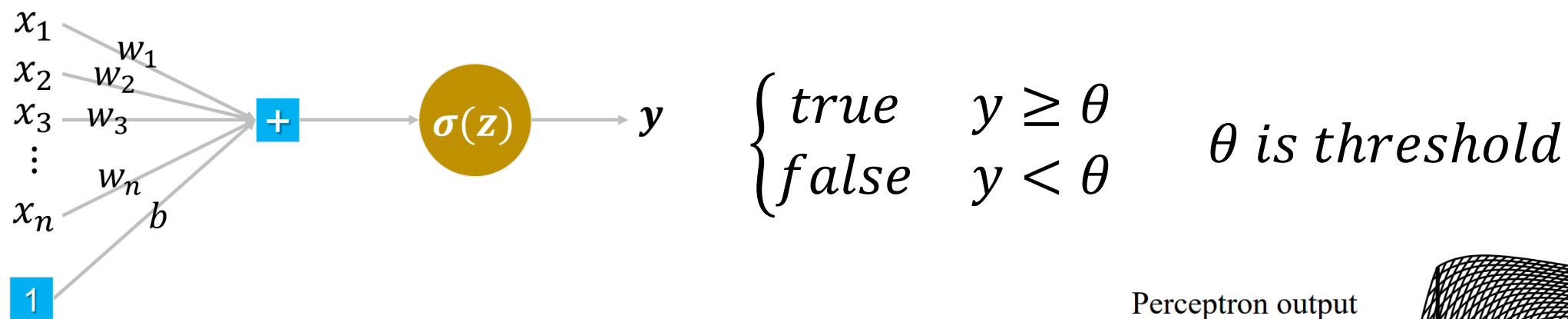
# Single Neuron



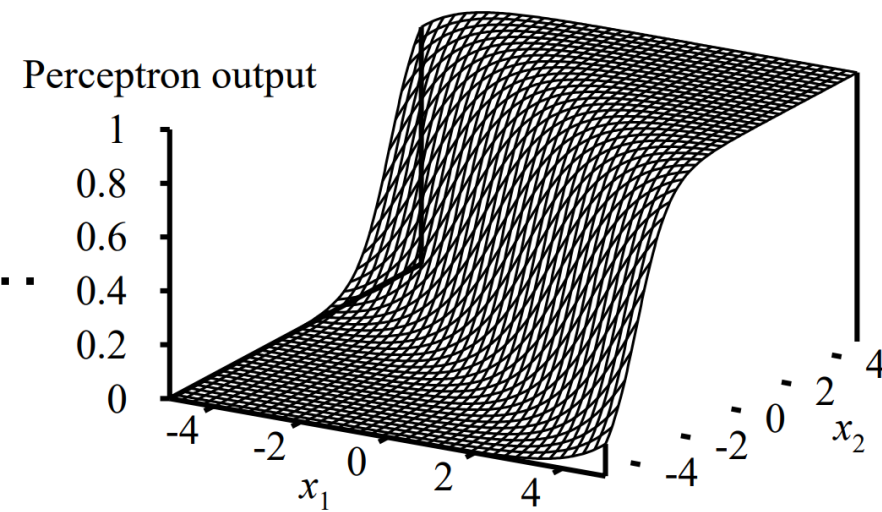
# A Layer of Neurons – Perceptron



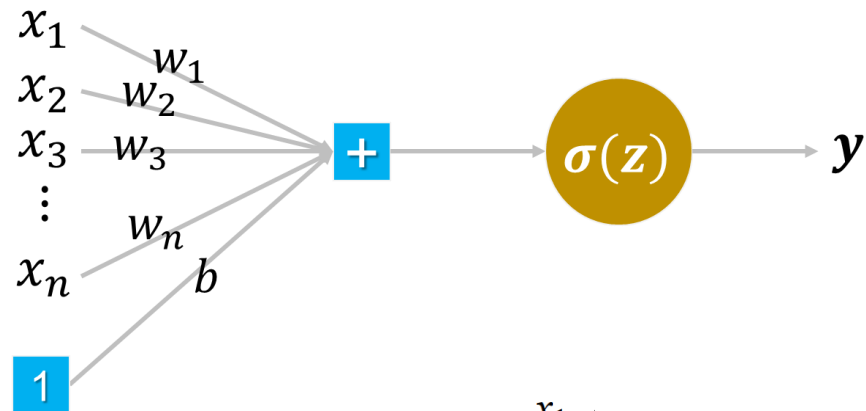
# A Layer of Neurons – Perceptron



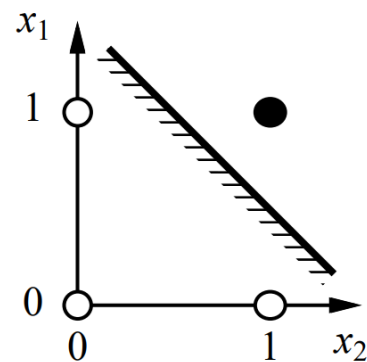
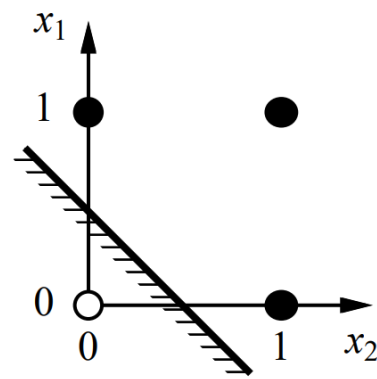
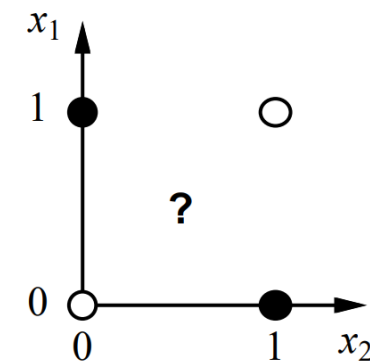
$y = \sigma(w_1x_1 + w_2x_2 + b)$  is a linear formula...



# A Layer of Neurons – Perceptron

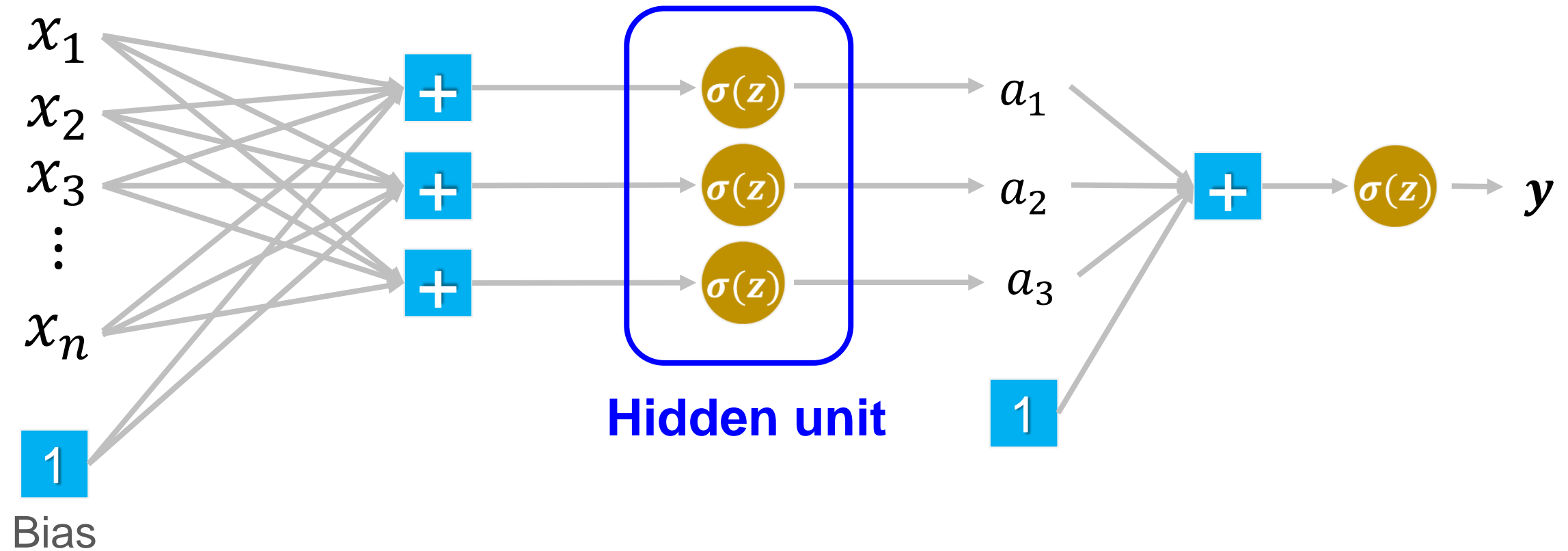


$$\begin{cases} \text{true} & y \geq \theta \\ \text{false} & y < \theta \end{cases} \quad \theta \text{ is threshold}$$

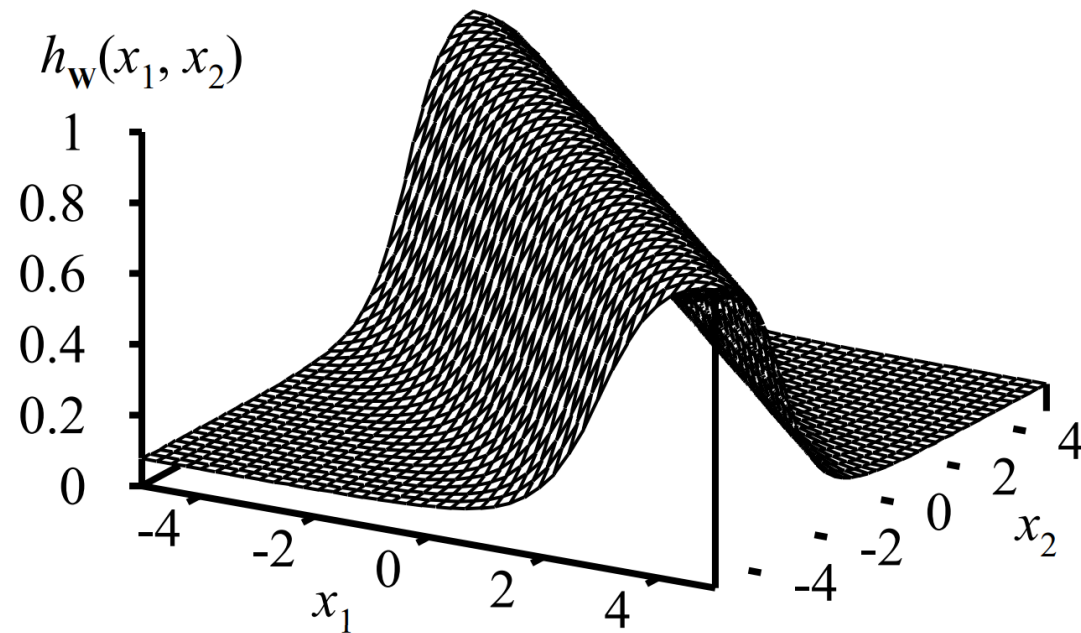
(a)  $x_1$  and  $x_2$ (b)  $x_1$  or  $x_2$ (c)  $x_1$  xor  $x_2$ 

Minsky & Papert (1969) pricked the neural network balloon

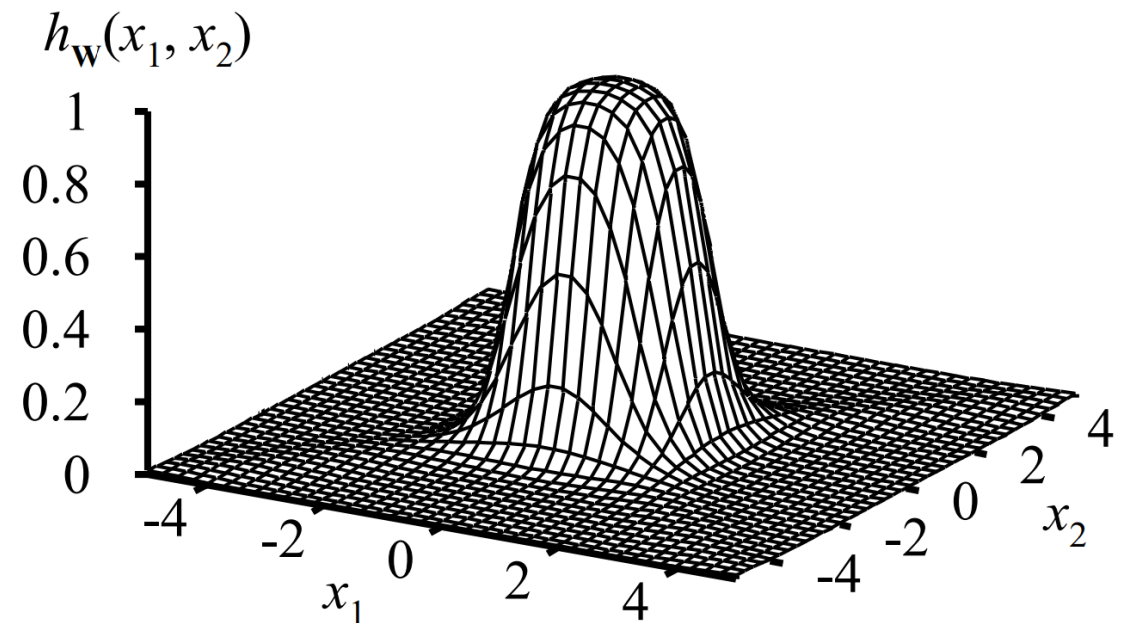
# A Layer of Neurons – Perceptron



# A Layer of Neurons – Perceptron



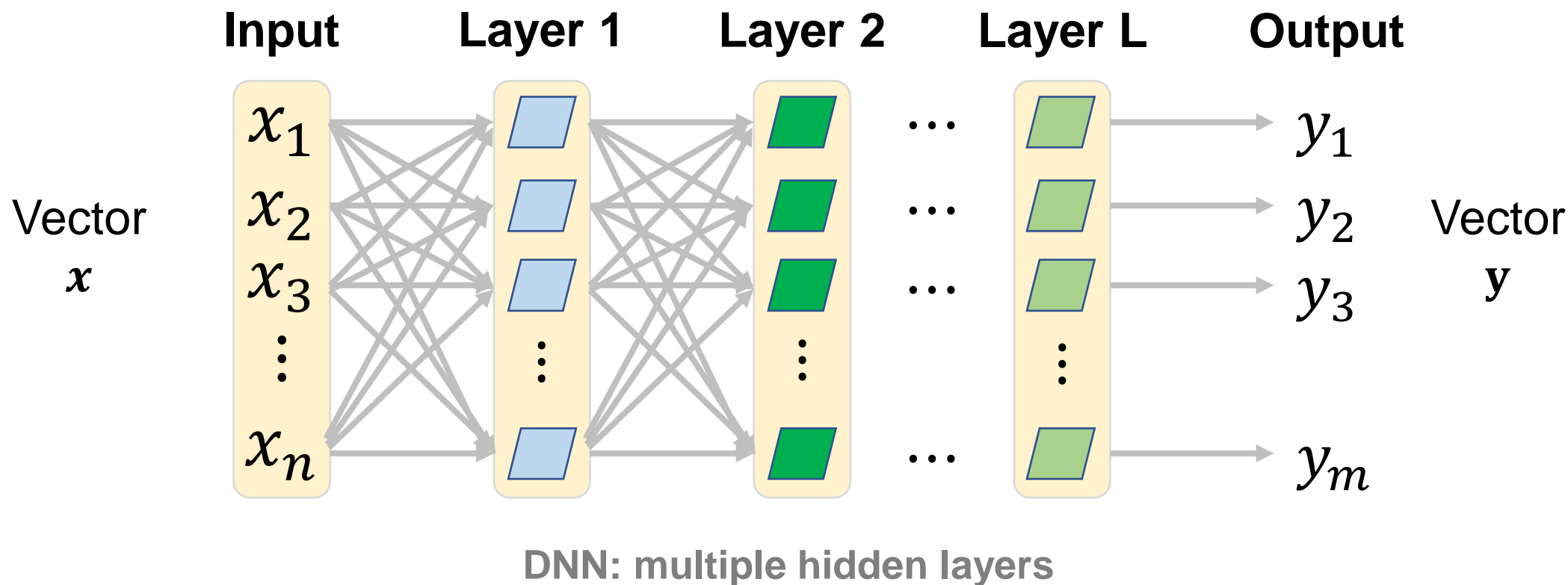
Combine two opposite-facing threshold functions to make a ridge



Combine two perpendicular ridges to make a bump

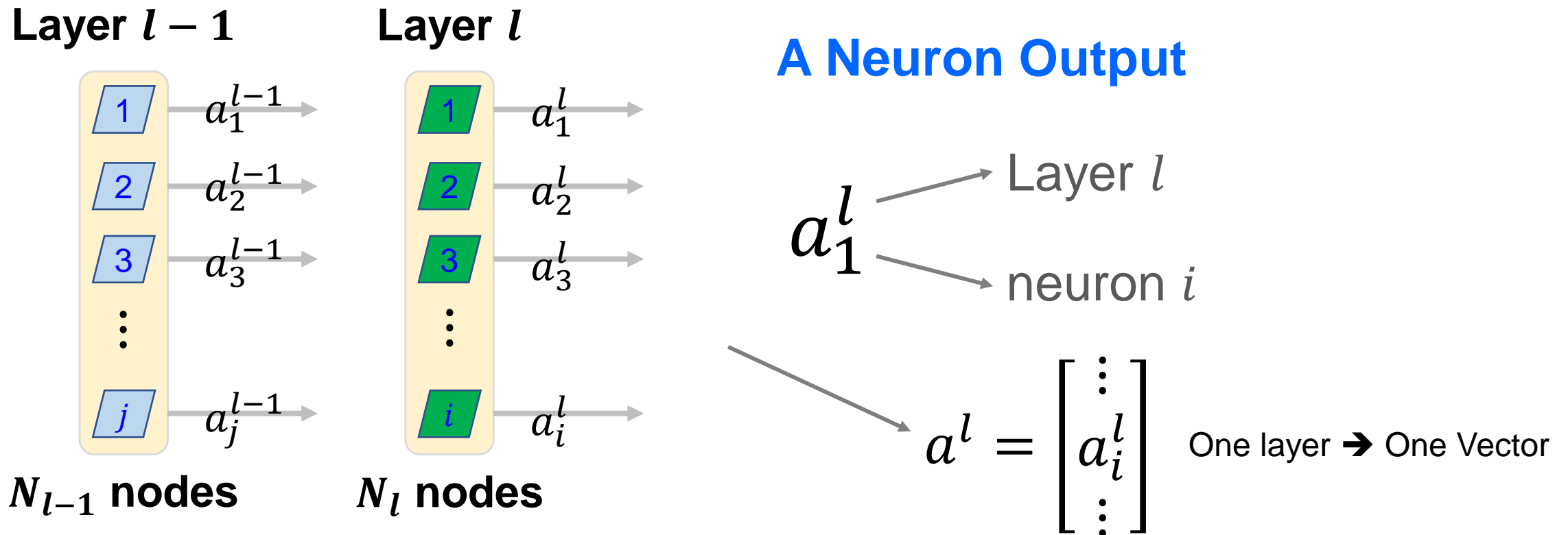
# Fully Connected Feedforward Network

Deep Neural Network (DNN)



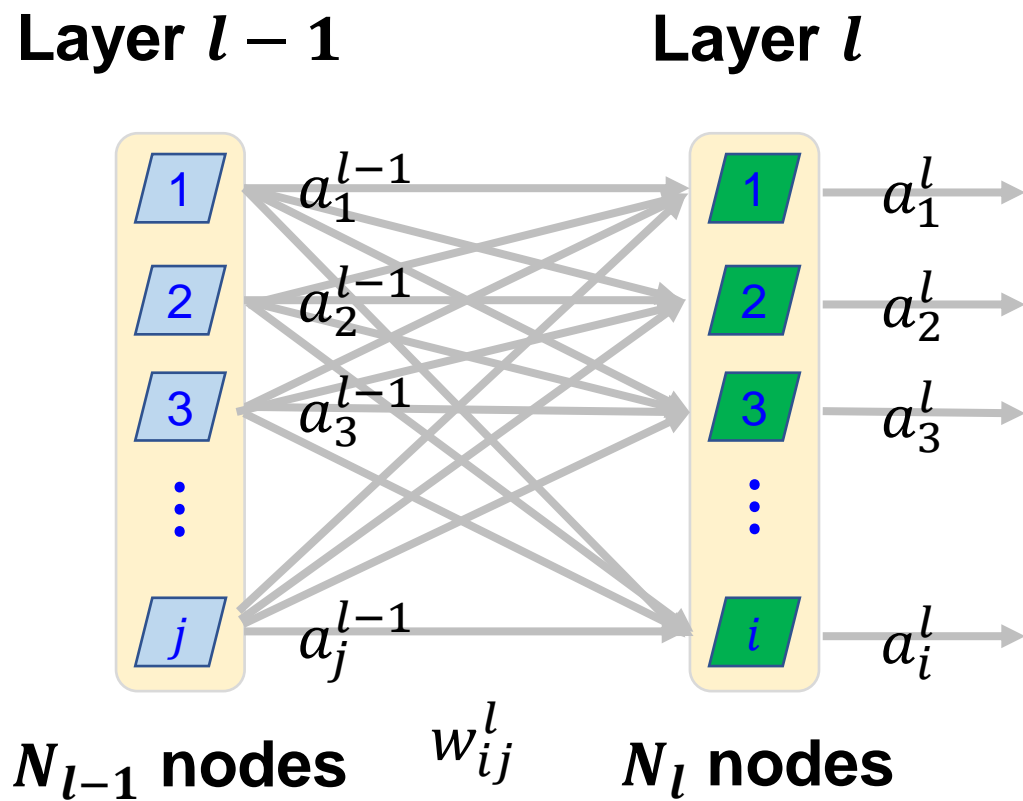


# Hidden Layer – Layer



# Hidden Layer – Weights

A Weight between two neurons/nodes



$w_{ij}^l$  → Layer  $l - 1$  to Layer  $l$   
 → From neuron  $j$  (layer  $l - 1$ ) to neuron  $i$  (layer  $l$ )

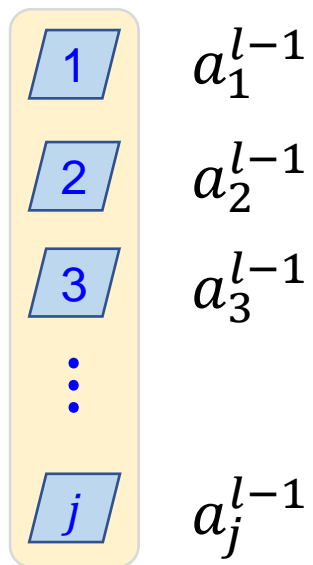
$$w^l = \begin{bmatrix} w_{11}^l & w_{12}^l & \dots \\ w_{21}^l & w_{22}^l & \dots \\ \vdots & \vdots & \ddots \end{bmatrix}$$

$\xleftarrow{N_{l-1}}$        $\xrightarrow{N_l}$

Weights between layers → One Matrix

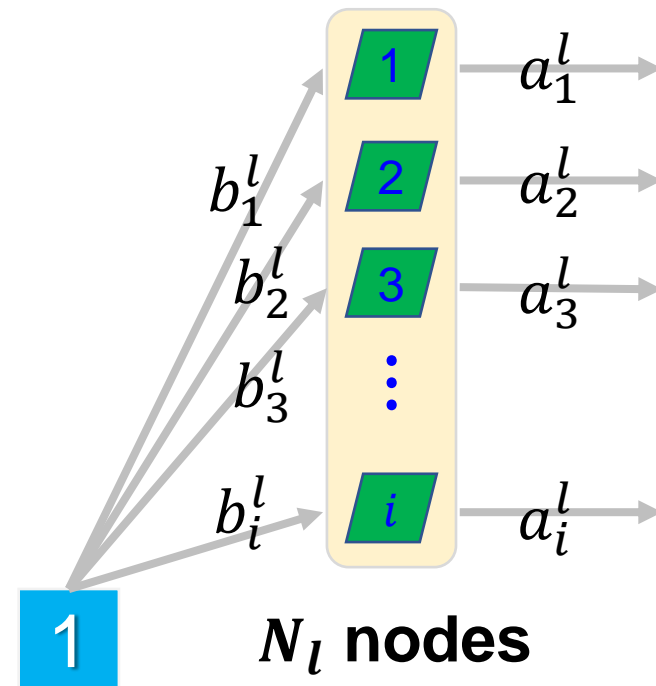
# Hidden Layer – Bias

Layer  $l - 1$



$N_{l-1}$  nodes

Layer  $l$



Bias

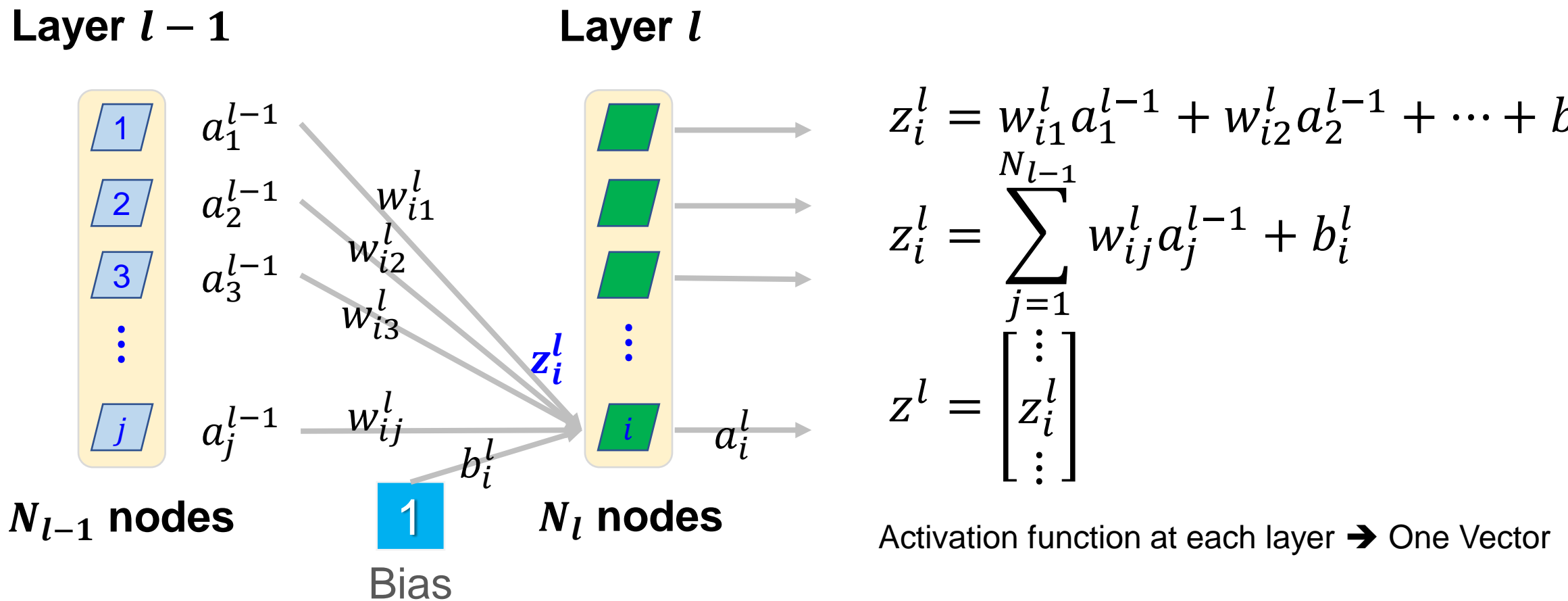
$N_l$  nodes

$b_i^l$  Bias for neuron  $i$  at layer  $l$

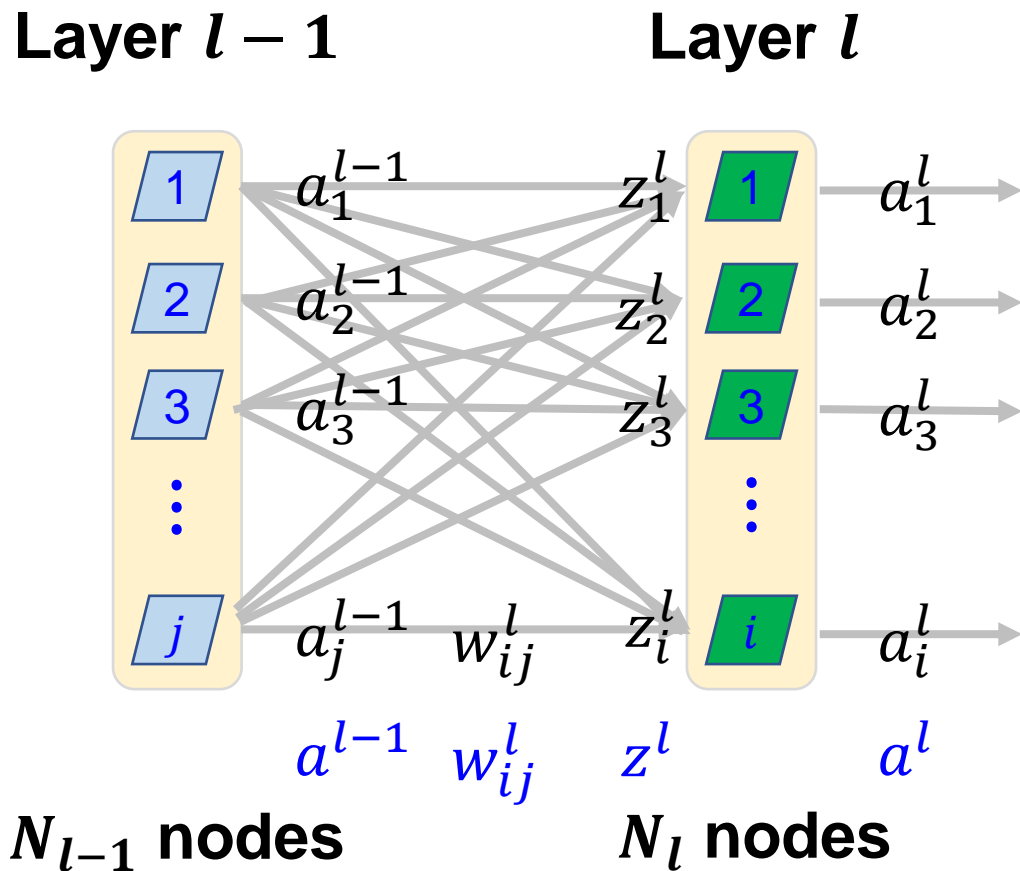
$$b^l = \begin{bmatrix} \vdots \\ b_i^l \\ \vdots \end{bmatrix}$$

Bias of each layer  $\rightarrow$  One Vector

# Hidden Layer – Activation Function



# Hidden Layer – Overall



$$z_1^l = w_{11}^l a_1^{l-1} + w_{12}^l a_2^{l-1} + \dots + b_1^l$$

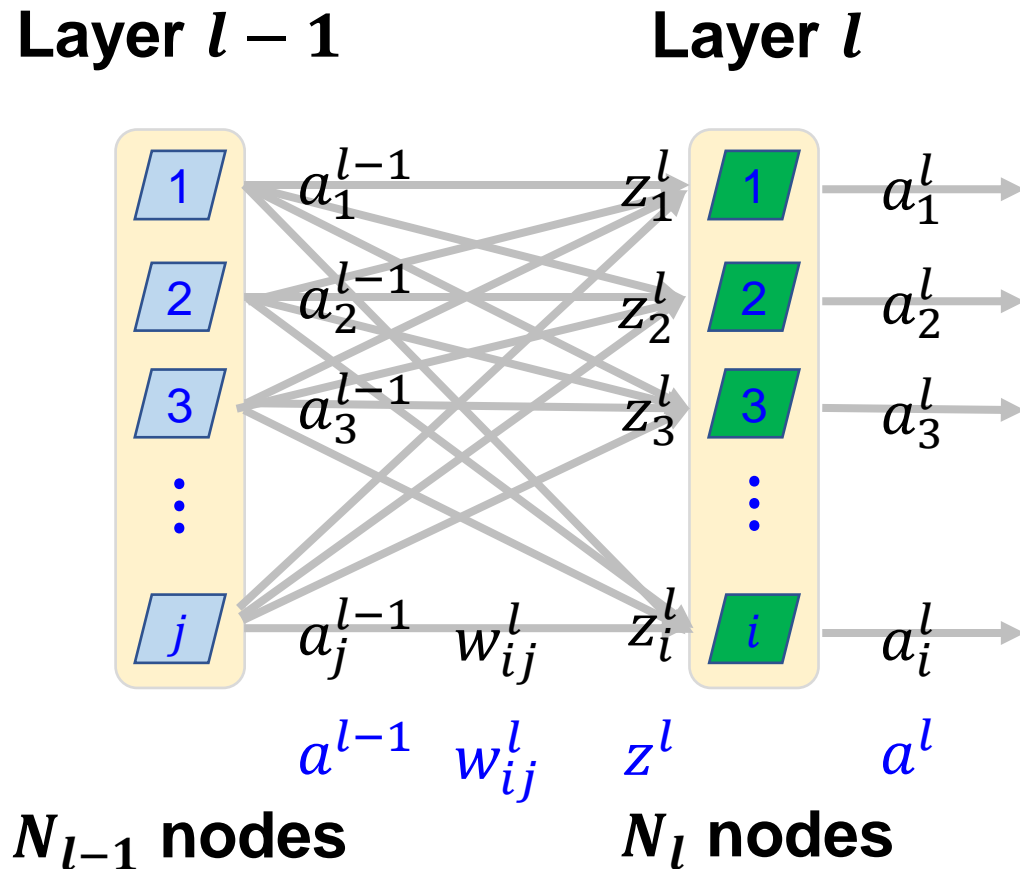
$$\vdots$$

$$z_i^l = w_{i1}^l a_1^{l-1} + w_{i2}^l a_2^{l-1} + \dots + b_i^l$$

$$\begin{bmatrix} \vdots \\ z_i^l \\ \vdots \end{bmatrix} = \begin{bmatrix} w_{11}^l & w_{12}^l & \dots \\ w_{21}^l & w_{22}^l & \dots \\ \vdots & \vdots & \ddots \end{bmatrix} \begin{bmatrix} \vdots \\ a_i^{l-1} \\ \vdots \end{bmatrix} + \begin{bmatrix} \vdots \\ b_i^l \\ \vdots \end{bmatrix}$$

$$z^l = W^l a^{l-1} + b^l$$

# Hidden Layer – Overall

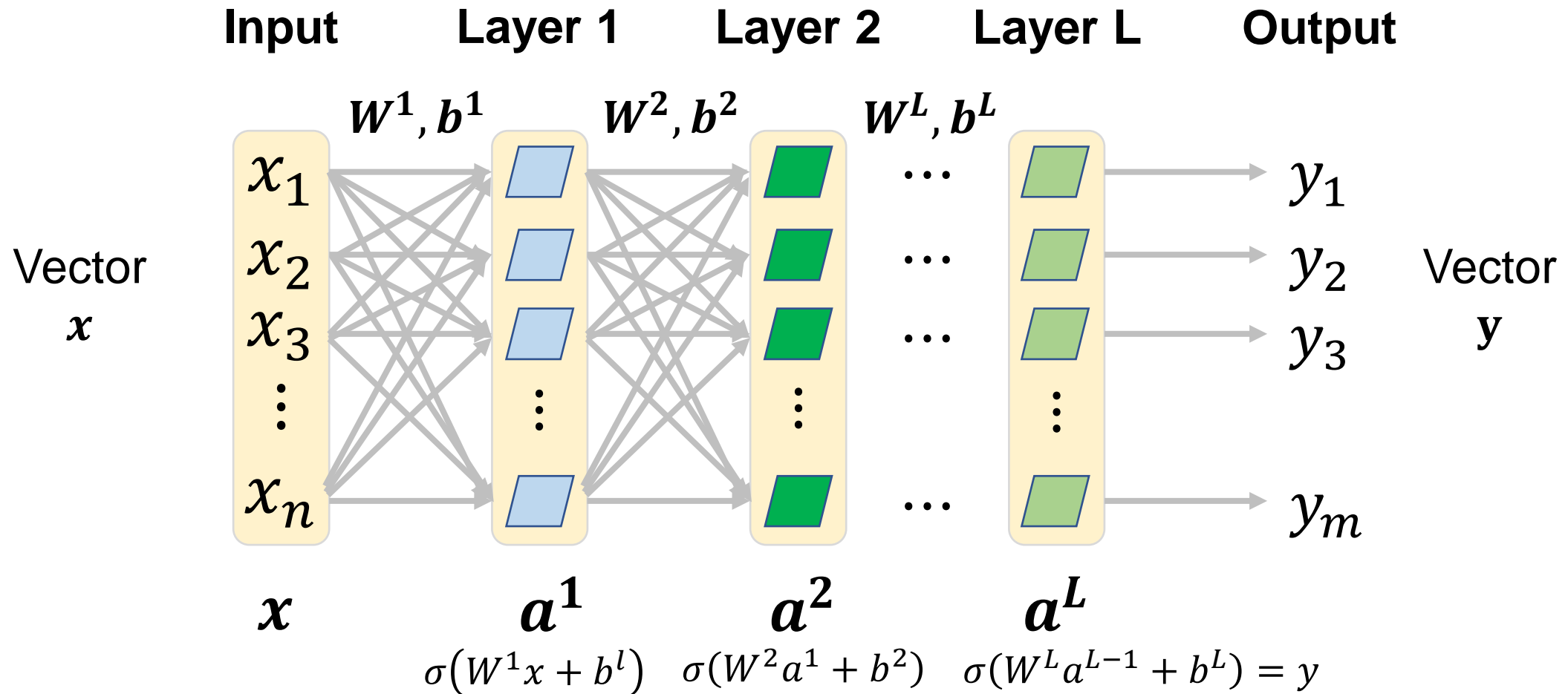


$$a_i^l = \sigma(z_i^l)$$

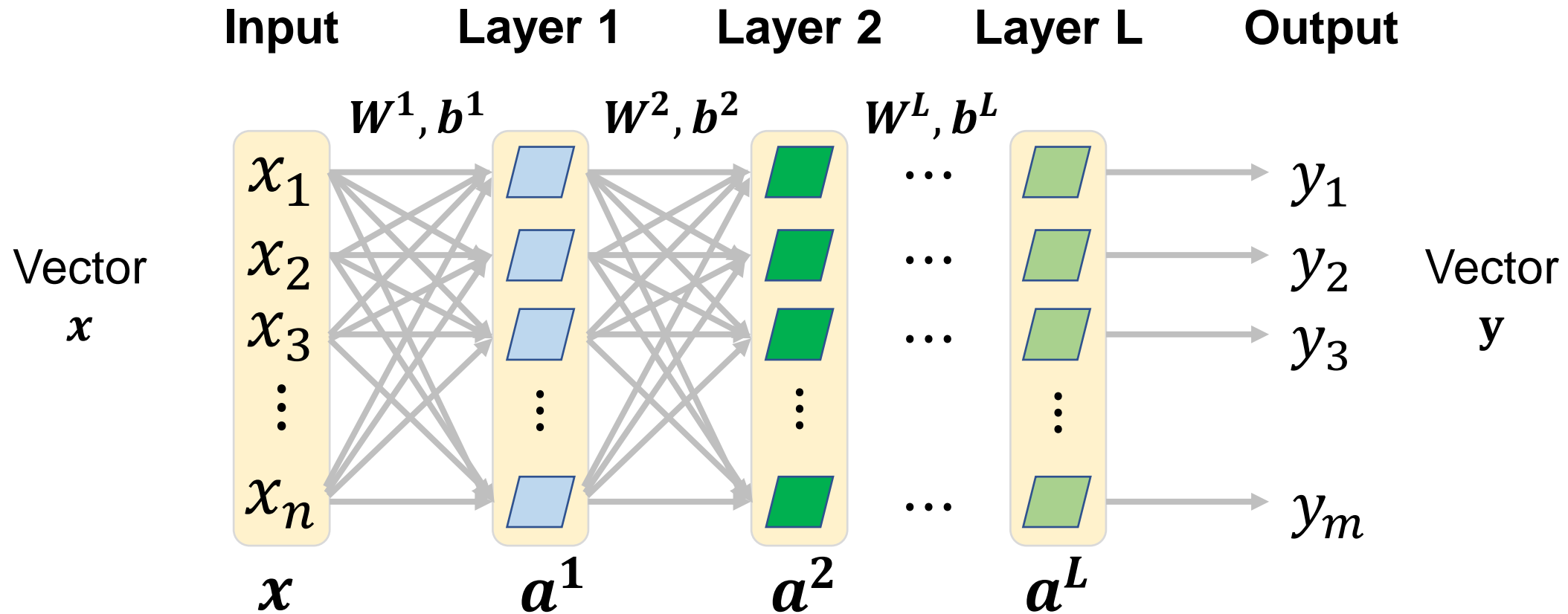
$$\begin{bmatrix} a_1^l \\ a_2^l \\ \vdots \\ a_i^l \end{bmatrix} = \begin{bmatrix} \sigma(z_1^l) \\ \sigma(z_2^l) \\ \vdots \\ \sigma(z_i^l) \end{bmatrix}$$

$$a^l = \sigma(z^l)$$

# Hidden Layer – Overall



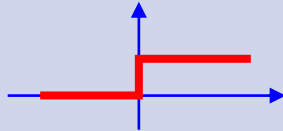
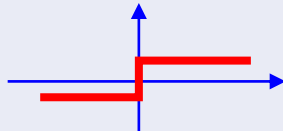
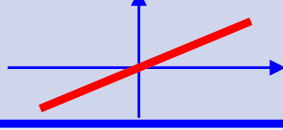
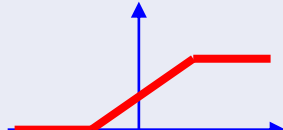

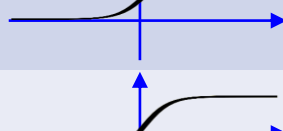
# Hidden Layer – Overall



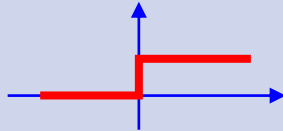
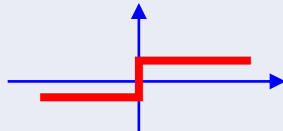
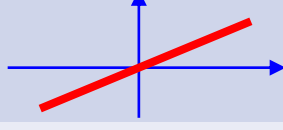
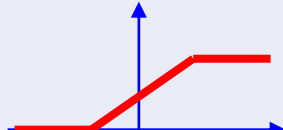
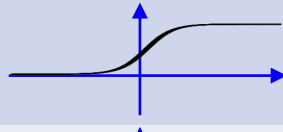
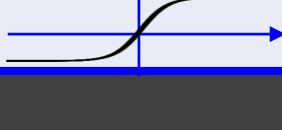
$$y = f(x) = \sigma(W^L \dots \sigma(W^2 \sigma(W^1 x + b^1) + b^2) \dots + b^L)$$



# Activation Function $\sigma(\cdot)$

	Activation Function	Equation	Example	1D Graph
Bounded function	Unit step (Heaviside)	$\phi(z) = \begin{cases} 0 & z < 0 \\ 0.5 & z = 0 \\ 1 & z > 0 \end{cases}$	Perceptron variant	
	Sign (Signum)	$\phi(z) = \begin{cases} -1 & z < 0 \\ 0 & z = 0 \\ 1 & z > 0 \end{cases}$	Perceptron variant	
	Linear	$\phi(z) = z$	Adaline, linear regression	
Bounded function	Piece-wise Linear	$\phi(z) = \begin{cases} 1 & z \geq \frac{1}{2} \\ z + \frac{1}{2} & -\frac{1}{2} < z < \frac{1}{2} \\ 0 & z \leq -\frac{1}{2} \end{cases}$	Support vector machine	
	Logistic (sigmoid)	$\phi(z) = \frac{1}{1 + e^{-z}}$	Logistic regression, multi-layer NN	
	Hyperbolic Tangent	$\phi(z) = \frac{e^z - e^{-z}}{e^z + e^{-z}}$	Multi-layer NN	

# Activation Function $\sigma(\cdot)$

	Activation Function	Equation	Example	1D Graph
Boolean	Unit step (Heaviside)	$\phi(z) = \begin{cases} 0 & z < 0 \\ 0.5 & z = 0 \\ 1 & z > 0 \end{cases}$	Perceptron variant	
	Sign (Signum)	$\phi(z) = \begin{cases} -1 & z < 0 \\ 0 & z = 0 \\ 1 & z > 0 \end{cases}$	Perceptron variant	
Linear	Linear	$\phi(z) = z$	Adaline, linear regression	
	Piece-wise Linear	$\phi(z) = \begin{cases} 1 & z \geq \frac{1}{2} \\ z + \frac{1}{2} & -\frac{1}{2} < z < \frac{1}{2} \\ 0 & z \leq -\frac{1}{2} \end{cases}$	Support vector machine	
Non-linear	Logistic (sigmoid)	$\phi(z) = \frac{1}{1 + e^{-z}}$	Logistic regression, multi-layer NN	
	Hyperbolic Tangent	$\phi(z) = \frac{e^z - e^{-z}}{e^z + e^{-z}}$	Multi-layer NN	

# Activation Function (non-linearity)

- Sigmoid

$$\text{sigmoid}(x) = \frac{1}{1 + e^{-x}}$$

- Tanh

$$\tanh(x) = \frac{\sinh(x)}{\cosh(x)} = \frac{e^x - e^{-x}}{e^x + e^{-x}}$$

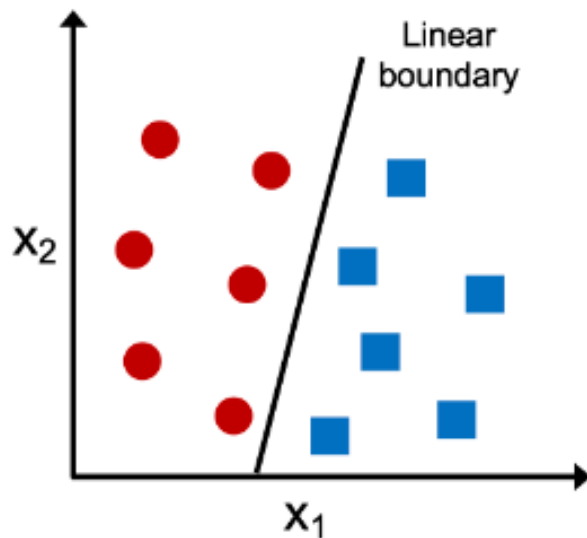
- Rectified Linear Unit (ReLU)

$$\text{ReLU}(x) = \max(x, 0)$$

# Linearity and Non-linearity

## Linearly separable

A linear decision boundary that separates the two classes exists



## Not linearly separable

No linear decision boundary that separates the two classes perfectly exists



<https://vitalflux.com/how-know-data-linear-non-linear/>

# Linearity and Non-linearity

- With linearity, the deep neural network is the same as linear transform.

$$W_1(W_2 \cdot x) = (W_1W_2)x = Wx$$

- With non-linearity, the deep neural network with multiple layers could have a more complex function.

# Model Parameters

$$y = f(x) = \sigma(W^L \dots \sigma(W^2 \sigma(W^1 x + b^1) + b^2) \dots + b^L)$$

Function set

Different parameters  $W$  and  $b \rightarrow$  different functions

## Formal definition

$$f(x; \theta) \Rightarrow \text{model parameter set}$$
$$\theta = \{W^1, b^1, W^2, b^2, \dots, W^L, b^L\}$$

# Loss Function & Reward Function

- Define a function to measure the quality of parameters set  $\theta$

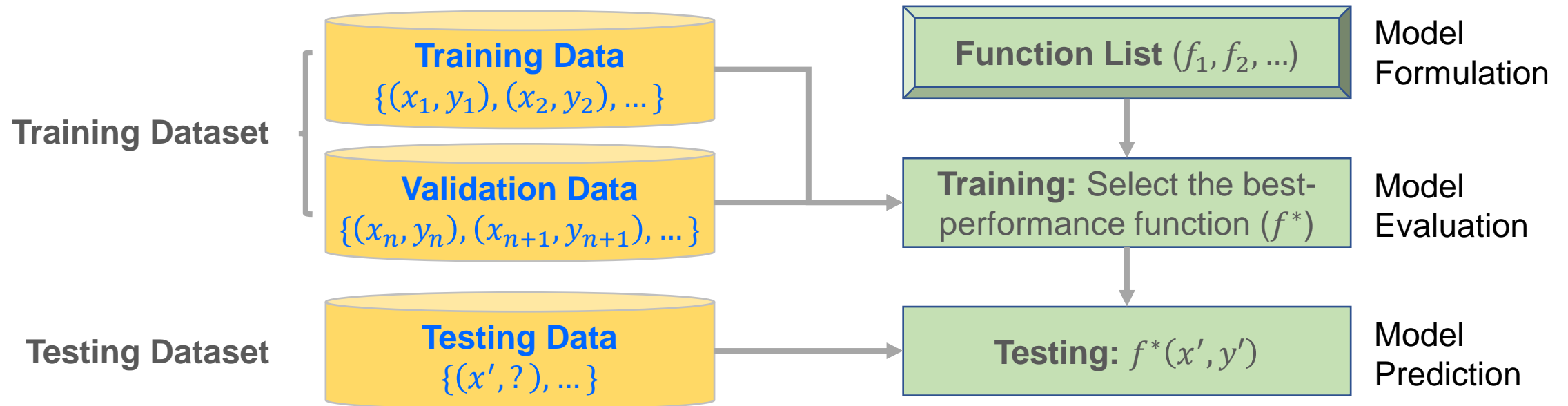
- Evaluating by a loss/cost/error function  $C(\theta)$   $\rightarrow$  how bad

$$\theta^* = \arg \min_{\theta} C(\theta)$$

- Evaluating by an objective/reward function  $O(\theta)$   $\rightarrow$  how good

$$\theta^* = \arg \max_{\theta} O(\theta)$$

# Loss Function



**Best function:**  $f(x; \theta) \sim \hat{y} \rightarrow \|\hat{y} - f(x; \theta)\| \approx 0$

**Define a loss function:**  $C(\theta) = \sum_k \|\hat{y}_k - f(x_k; \theta)\|$



# Loss Function

**Table 1** List of losses analyzed in this paper.  $y$  is true label as one-hot encoding,  $\hat{y}$  is true label as  $+1/-1$  encoding,  $o$  is the output of the last layer of the network,  $\cdot (j)$  denotes  $j$ -th dimension of a given vector, and  $\sigma(\cdot)$  denotes probability estimate.

Symbol	Name	Equation
$\mathcal{L}_1$	$L_1$ loss	$\ y - o\ _1$
$\mathcal{L}_2$	$L_2$ loss	$\ y - o\ _2^2$
$\mathcal{L}_1 \circ \sigma$	Expectation loss	$\ y - \sigma(o)\ _1$
$\mathcal{L}_2 \circ \sigma$	Regularized expectation loss	$\ y - \sigma(o)\ _2^2$
$\mathcal{L}_\infty \circ \sigma$	Chebyshev loss	$\max_j  \sigma(o)^j - y^j $
<i>Hinge</i>	Hinge (margin) loss	$\sum_j \max\left(0, \frac{1}{2} - \hat{y}^j o^j\right)$
<i>Hinge</i> <sup>2</sup>	Squared hinge (margin) loss	$\sum_j \max\left(0, \frac{1}{2} - \hat{y}^j o^j\right)^2$
<i>Hinge</i> <sup>3</sup>	Cubed hinge (margin) loss	$\sum_j \max\left(0, \frac{1}{2} - \hat{y}^j o^j\right)^3$

# Loss Function

**Table 1** List of losses analyzed in this paper.  $y$  is true label as one-hot encoding,  $\hat{y}$  is true label as  $+1/-1$  encoding,  $o$  is the output of the last layer of the network,  $\cdot (j)$  denotes  $j$ -th dimension of a given vector, and  $\sigma(\cdot)$  denotes probability estimate.

Symbol	Name	Equation
log	Log (cross entropy) loss	$-\sum_j y^j \log \sigma(o)^j$
log <sup>2</sup>	Squared log loss	$-\sum_j [y^j \log \sigma(o)^j]^2$
tan	Tanimoto loss	$\frac{-\sum_j \sigma(o)^j y^j}{\ \sigma(o)\ _2^2 + \ y\ _2^2 - \sum_j \sigma(o)^j y^j}$

# References

- 臺大電機系李宏毅教授講義
- 臺大資工系陳縉儂教授講義
- NVIDIA Deep Learning Tutorial
- <https://aima.cs.berkeley.edu/slides-pdf/chapter20b.pdf>
- Janocha and Czarnecki (2017) On Loss Functions for Deep Neural Networks in Classification. *Computer Science ArXiv*. abs/1702.05659.

A scenic landscape featuring a calm lake in the foreground, a small village with a church on the right, and snow-capped mountains in the background under a clear blue sky. The text "Thank you for your attention!" is overlaid in large blue font with a white outline.

**Thank you for your attention!**